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# REDACTIONS AND DATES OF THE COMPILATION OF THE *ČINGIZ-NĀMÄ* OF ÖTÄMIŠ ḤĀjjĪ

## Csaba Göncöl

MTA–SZTE Ottoman Era Research Group Szeged, Hungary csaba986@gmail.com

*Abstract:* This article aims to scrutinise the relationship between the texts of the 16-th century chronicle, the so called *Čingiz-nāmä* of Ötämiš Hājjī, preserved in two manuscripts, as well as to determine the date(s) of the compilation of the work.

*Materials*: The Tashkent and Istanbul manuscripts of the *Čingiz-nāmä* of Ötämiš Hājjī, Central Asian chronicles in Turkic and Persian, relevant scholarly literature.

*Results and novelty of the research*: Analysing the relationship of the two texts, the author has come to the conclusion that the Tashkent and Istanbul manuscripts of the abovementioned chronicle contain different redactions of the *Čingiz-nāmä* written by the same chronicler. Furthermore, the author argues that the text preserved in the Tashkent manuscript is the initial redaction compiled before the year 1539, while the text of the Istanbul manuscript contains the second redaction – an extended one – written around middle of the 1540s.

*Keywords:* Ötämiš Hājjī, Čingiz-nāmä, Golden Horde, Tashkent manuscript, Istanbul manuscript

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The past three decades witnessed a considerable increase of interest in the study of "native sources" of the Golden Horde, and in particular the so-called *Čingiz-nāmä* of Ötämiš Hājjī. This unique piece of historical literature was written in the 16th century in the territory of  $H^{wa}$  arazm, then under Šaybanīd rule, and in an eastern Turkic Islamic literary language. The work has been preserved in two copies, one in Tashkent (hence: Tashkent manuscript), and one in Istanbul (hence: Istanbul manuscript)<sup>1</sup>, the text of which – as we shall see – was supplemented with events of the  $17^{th}$  century.

<sup>&</sup>lt;sup>1</sup> For an in-depth analysis of the author, his chronicle, and its extant copies consult [3, pp. 5–10; 4, pp. 164–169; 11, pp. 144–145; 16, pp. 72–74; 19, pp. 20–29; 20; 21; 22, 24].





Контент доступен под лицензией Creative Commons Attribution 4.0 License. The content is available under the license Creative Commons Attribution 4.0 License. Although the Tashkent manuscript of this unique chronicle was introduced to scholarship as early as 1902 by Bartol'd [4, p. 164–166], and the Istanbul manuscript was acquired by Togan not much later in the 1910s [19, p. 27, 22, pp. 14–19; 23, pp. 60–62; 32, pp. 8–10]. The historical circumstances of the 20th century largely prevented simultaneous access to both manuscripts for a long time. This resulted in scholars being able to work only with either one or the other copy of the chronicle, and as a consequence its many editions and translations have been based on either the Tashkent or the Istanbul manuscript. For the time being, this remains a serious shortcoming of scholarship regarding the *Čingiz-nāme*. However, excellent editions containing transliterations and facsimiles of both the Tashkent [25; 31] and Istanbul [19; 32] manuscripts offer us the possibility to compare the texts and reveal details hidden up to the present.

It is important to note that both the extant manuscripts are later copies of the  $\check{C}ingiz-n\bar{a}m\ddot{a}$ . My aim here is not to determine the date at which these copies were finished<sup>2</sup>, but to point out that Ötämiš Hājjī made two separate redactions of the chronicle and to assert the times at which the author finished them.

A remark in the Istanbul manuscript of the *Čingiz-nāmä* reads as follows: *Further, I wish to compose this copy*<sup>3</sup> *of this* [poo]*r wretched* [soul] – as the author refers to himself – for a second time. I start for the second time." [19, p. 136, 32, pp. 59–60, 133, 216/fol. 48b]<sup>4</sup>. Mirgaleev pointed out this fact [32, p. 6], which lead me to raise a few questions. The remark leaves no doubt that Ötämiš Hājjī made two separate redactions of his chronicle and that the Istanbul manuscript contains the second one. Could the Tashkent manuscript contain the first/initial redaction of the *Čingiz-nāmä*? If so, how can we prove it and when did the author finish the first and then the second? These are the questions that are going to be answered in this article. Although I have recently addressed both these topics and formed a hypothesis [13, pp. 127–129], at that time I failed to recognise further clues that support it.

Keeping in mind that the Istanbul manuscript contains the second, i.e., the later redaction, it seems reasonable to compare the texts and the structure of the narrative in both manuscripts. A comparison of these shows substantial differences, but only at the beginning of the chronicle. The text preserved in the Tashkent manuscript begins – as was typical for works composed in an Islamic environment – with the praise of God and the Prophet Muhammad (*invocation*) and proceeds with information on the author, his patron, the sources of the chronicle, and the circumstances of its compilation (*introduction*). After these it continues with the partition of the Mongol Empire and the history of the Jočids (*narration*). It is important to point out that there is no abrupt break in the manuscript between the *introduction* and *the narration*. In stark contrast, the text of the Istanbul manuscript lacks the *invocation* and *introduction*! It starts with the history of Genghis Khan followed by that of the establishment of the Mongol Empire. Only after these does the manu-

<sup>&</sup>lt;sup>2</sup> To my knowledge there has been no attempt to determine the date of copy of the Tashkent manuscript. Kafalı and Mirgaleev made their claims regarding the Istanbul manuscript's dating [19, p. 28; 24, p. 67; 32, p. 6], a topic to which we shall return shortly.

<sup>&</sup>lt;sup>3</sup> The text uses the word *nusha* 'exemplar, prototype, archetype, a copy or model [...]; a manuscript; [...]' [28, p. 1400].

<sup>&</sup>lt;sup>4</sup> basa tilär-män ki bu nusha-i [faqī]r-i haqīrnï iki defʿā (!) inšā qïlsam defʿa-i šānīsinä šurūʿ qïlġum turur

script proceed with the partition of the empire and the history of the Jočids (*narra-tion*), from which point the text corresponds to that of the Tashkent manuscript almost verbatim<sup>5</sup>.

Tashkent manuscript	Istanbul manuscript
invocation	missing
introduction	missing
missing	history of Genghis Khan and the Mongol
	Empire
<i>narration</i> – the end of the text is	<i>narration</i> – text uninterrupted
missing	
missing	continuation from the 17th century <sup>6</sup>

Structural differences between the texts of the Tashkent and Istanbul manuscripts

The structural difference between the texts leads us to the hypothesis that the Tashkent manuscript preserved the text of the first redaction. This redaction had been compiled for Iš (Muhammad) Sultān, the patron of Ötämiš Hājjī, a fact that is stated *expressis verbis* in the *introduction* [25, pp. 7–8, 66–67; 31, pp. 90–91, 119–120]. At a later date, Ötämiš Hājjī made a second redaction of the chronicle, in which he left out the *invocation* and the *introduction*, opting instead to extended the text with the history of Genghis Khan and the Mongol Empire.

There is a further clue that supports this hypothesis, one that at first seems negligible but is quite important, so long as we place it in the right context. At one point the Tashkent manuscript makes mention of Sultan Gāzī Sultān b. Ilbars Khan without anything added after his name [25, pp. 40, 95; 31, pp. 114, 140]. In the Istanbul manuscript, however, he is referred to as "*his great and auspicious majesty, whom* [God] *has taken into* [his] *mercy, whose sins are forgiven – may God make his grave pleasant and make Paradise his abode!*" [19, p. 132; 32, pp. 53, 127, p. 229/fol. 42a]<sup>7</sup>. This seemingly unimportant addition to the text makes it clear that the author penned the text of the Tashkent manuscript before the death of the above-mentioned Ghenghisid prince, whereas he wrote the one preserved in the Istanbul manuscript after the event. When we take the disparity in the structure of the chronicle into consideration too, it becomes evident that Ötämiš Hājjī made two redactions of the *Čingiz-nāmä*. The Tashkent manuscript contains the initial one, while the Istanbul manuscript certainly preserves the second.

The existence of two separately compiled redactions leads us to the next question, namely, when did the author finish the first and the second redactions respectively? Scholarly literature on the topic presents wildly different opinions. This disparity can be explained by researchers long having had access to only one of the manuscripts. Due to the scarcity of information in the text, scholars utilising only the Tashkent manuscript could only suggest a wide time range. Bartol'd worked

<sup>&</sup>lt;sup>5</sup> Beginning from the partition of the empire, there are only minor differences between the two drafts. For an insight into these see [14, pp. 60–61].

<sup>&</sup>lt;sup>6</sup> For an in-depth analysis of the continuation, see [5; 21; 23; 24, pp. 59; 67].

<sup>&</sup>lt;sup>7</sup> hażrat-i sultān-i kabīr-i sa 'īd-i marhūm-i magfūr tayyab llāhu <u>s</u>arāhu wa ja 'ala l-janna mitwāhu sultān Gāzī Sultān The text of the Istanbul manuscript uses the erroneous verbal form tāba instead of tayyab. I corrected this.

with the whole span of the 16<sup>th</sup> century [4, p. 166], while Akhmedov – in his preface to the edition of Yudin et al. – pointed to the first half of that century [3, p. 5]. Kawaguchi and Nagamine place the compilation of first redaction between the death of Ilbas Khan and Dōst Muḥammad Khan – that is, within the years 1518 and 1558 [20, p. 48]. Scholars who had access to the Istanbul manuscript, which offers more data for dating the compilation, operated within a narrower time range, and they suggest the 1550s [11, p. 144]. Only Kafalı went further and argued that the year 1552 was the *terminus ante quem* [19, p. 27]. Access to both manuscripts and a comparison of them, however, offers substantially more information to date the compilation of both redactions.

The text of the Tashkent manuscript provides us with three hints regarding the date of its compilation. The first points to the time span (*bu*  $a\underline{s}n\overline{a}da$  'in this time') when Iš Sultān was the "refuge of sultans" along the Syr Darya River (*Sir*  $d\ddot{a}ry\bar{a}sija$ ) [25, pp. 7, 66–67; 31, pp. 90, 119–120]. I failed to identify any source that would ascribe any kind of appanage to Iš (Muhammad) Sultān along the said river<sup>8</sup>, and any of my attempts to date the redaction on this ground turned out to be futile. This leaves us with two clues and only a rudimentary chronology whit which to work.

Ötämiš Hājjī left a second clue when he referred to his former lord, Ilbars Khan in the following way in the Tashkent redaction: "*his majesty the khan, whose sins are forgiven, whom* [God] *has taken into* [his] *mercy – may God make his grave pleasant and the Paradise his abode!*" [31, pp. 110, 137; 25, pp. 34, 90; 19, p. 129; 32, pp. 49, 123, 238/fol. 37b]<sup>9</sup>. Kawaguchi and Nagamine were correct in pointing to Ilbars Khan's death in 1518 as the *terminus post quem* of the compilation of the Tashkent redaction [20, p. 48].

The final clue that we are able to work with – as we saw earlier – is the date of death of the succeeding Sultan Gāzī Sultān. Although Abu l-Gāzī Bahadur khan (r. 1643–1663) in his *Šajara-i Türk* vividly portrays the event that led to the murder of the said prince [10, pp.  $\Upsilon \Upsilon \Upsilon \Upsilon \Upsilon$ ], his chronicle fails to provide a date. There are, however, sources that enable us to narrow down the chronology of the event to a span of few years.

The death of Sultan Gazī Sultān was a result of power struggles within the 'Arabšāhid dynasty. In the 1530s, two major groups were competing for primacy in  $H^w\bar{a}razm$ . The first one consisted of the offspring of Büräkä b. Yādgār Khan, headed by Sultan Gazī Sultān who wielded actual authority within the khanate. The second constituted the progeny of Äminäk b. Yādgār Khan with Āwānäš at its head as nominal khan [29, pp. 312–314; 34, pp. 105–107]. The *Firdaws al-iqbāl* of Mūnis and Āgāhī recalls a revolt in Astarābād against the governor of Tahmāsb Shah (r. 1542–1576). Āwānäš Khan – on the advice (*kängäš*) of Sultan Gāzī Sultān – sent troops under the command of the later's son, 'Umar Gāzī, to aid in the insurrection [18, pp. 111–1117; 27, p. 31]. Ṣafawī chronicles fully support the testimony of the *Firdaws* 

<sup>&</sup>lt;sup>8</sup> Abu l-Gāzī's *Šajara-i Türk* relates that of the brothers Dōst Muḥammad and Iš Muḥammad, the latter who commissioned Ötämiš Ḥājjī to compile the *Čingiz-nāmä*, received the town of Kāt (modern-day Beruniy, Uzbekistan) as an appanage [10, p. YIY], which is considerable distance away from the Syr Darya River.

<sup>&</sup>lt;sup>9</sup> hażrat-i hān-i magfūr u marhūm tayyaba allāhu <u>s</u>arāhu wa ja ala l-janna mitwāhu The text of the Istanbul manuscript – again – uses the verbal form tāba. The citation is given according to the correct form of the Tashkent manuscript.

al-iabāl. The '*Ālam-ārā-vi* '*Abbāsī* of Iskandar Beg Tūrkmān dates the revolt to AH 944/AD 10 July 1537–29 May 1538 [17, I. p., 1, 1], whereas the Ahsanu t-tawārīh of Hasan Rūmlū records it under the events of the year AH 945/AD 30 May 1538-18 May 1539 [1, pp. <sup>Y</sup><sup>M</sup><sup>-</sup>Y<sup>A</sup><sup>3</sup>; 2, pp. 129–130; 15, pp. <sup>Y</sup><sup>M</sup><sup>-</sup>Y<sup>A</sup><sup>3</sup>]. The armed conflict among of the 'Arabšāhids broke out after the H<sup>w</sup>ārazmian troops returned from Astarābād. At the end of those events, the faction under Āwānäš Khan killed Sultan Ġāzī Sultān and several other members of his family. However, 'Umar Ġāzī was left alive and banished to Buharā where he managed to gather the support of 'Ubavd Khan b. Mahmūd of Buharā (r. 1533-1540) and Barag Khan, the future ruler of Samargand (1551–1556). The joint forces marched into  $H^{war}aram and - for a short peri$ od – ousted the enemy faction killing many of its members, including the khan. The conquest of H<sup>w</sup>ārazm proved to be a successful but short-lived enterprise. The troops of Buhārā suffered a defeat shortly afterwards and pulled back. The faction supporting Āwānäš khan came to power once more and raised Oahil – in other sources Oal – khan to the throne [10, pp. YYY-YYA; 18, pp. 111-117; 27, p. 32]. The Firdaws aliqbal sets these events into AH 946/AD 19 May 1539-6 May 1540, but also mentions that "Hasan han Rumlu (in the chronicle Ahsanu t-tawarih - Cs. G.) and the 'Alam- $\bar{a}r\bar{a}$ -vi 'Abbasī sav [the events happened – addition by Cs. G.] in [AH] 945" [18. p. 117; 27, p. 32]<sup>10</sup>. While the '*Ālam-ārā-yi* '*Abbāsī* only alludes to the abovementioned events in Astarābād. Hasan Rūmlū in fact inserted them under the events of this year [1, pp, Y9.-Y9Y; 2, pp, 132–133; 15, pp, Y9.-Y9Y]. Taking this evidence into consideration we can safely argue that Sultan Gazī Sultan must have perished in the years 1538/39, giving a *terminus ante auem* for the compilation of the first redaction preserved in the Tashkent manuscript. This means that Ötämiš Hajjī must have penned his first version of the *Čingiz-nāmä* between the years 1518 and 1538/39.

The redaction of the Istanbul manuscript offers considerably more information with the help of which we can date it even more precisely. Most importantly, at the very end of the continuation, two dates are given. It runs as follows: aad waaa a lfarāg min hāzīhī l-awrāq fī yawmi čahāršanba fī šahri Muharram sanati [...] bāraka llāhu tamma tārīh-i sanat-i [...] [19, p. 156; 32, pp. 160/fol. 76b]. It translates to "This manuscript was finished on the day of Wednesday, in the month of Muharram of the year [...]. May God praise it! [In] the year of [...]" I had good reason to leave out both of the years. In the first case, the date is blurred to the extent that I find it impossible to read any text or recognise any numerals. Kafalı and Mirgaleev read 959 [19, p. 156; 24, p. 67; 32, pp. 85, 159]. Wednesdays of Muharram 959 correspond to the 30<sup>th</sup> of December 1551, the 6<sup>th</sup>, 13<sup>th</sup>, 20<sup>th</sup> or 27<sup>th</sup> of January 1552, and if their reading is right, it would be a credible chronology for the compilation of the second draft of the *Čingiz-nāmä*. As for the second, both scholars read it as 1040 [19, p. 156; 24, p. 67; 32, pp. 85, 159], that is 10<sup>th</sup> August 1630  $-29^{\text{th}}$  July 1631. I find this reading uncertain too, especially because there are five numerals visible on the facsimile. And even if the afore-mentioned readings are right, the question still remains, what do they actually mark? All in all, there are two dates at the end of the chronicle's continuation, the reading of which are dubious. One has to agree with Belyakov according to whom the dating of the manuscript does not hold water [5, pp. 380–381]. Given all these doubts, in the follow-

<sup>&</sup>lt;sup>10</sup> For more detail and further literature consult [8, p.375. note 80].

ing I shall scrutinise the content of the  $\check{C}ingiz-n\bar{a}m\ddot{a}$  of Istanbul manuscript<sup>11</sup>, and look for indications that may give us a hint regarding the time of its compilation.

When enumerating the genealogy of different branches of the Šavbanīds. Ötämiš Hājiī lists the rulers of H<sup>w</sup>ārazm in the following fashion: hān-i marhūm Buguĭa hān wä Sofvā[n] hān wä  $\overline{A}vanäš han wä Oal^{12} han hallada mulkahū [19].$ p. 138: 32, pp. 62, 136, 210/fol, 51b]. The Arabic felicitation hallada mulkahū 'may his reign last forever' is usually added to the names of currently reigning monarchs, meaning that the author was drafting the second redaction of the *Čingiz*nāmä during the reign of Oal Khan. This has been already noticed by Kafalı who – for reasons unknown to me - set the demise of the afore-mentioned monarch to 1552 [19, p.  $271^{13}$ . To my knowledge, the only source giving hints regarding the time of the event is the *Firdaws al-iabāl*, which tells us that Oal Khan reigned for nine years [18 p. 112; 27, p. 34]. Knowing that Oal Khan was raised to the throne after the forces of 'Ubavd Khan pulled out from H<sup>w</sup>ārazm around the year 1539/40, it is safe to assume that we ought reckon with the dates 1539/40-1548/1549 for Oal Khan's reign. Thus, the date 1549 could be considered the *terminus ante quem* of the compilation of the second redaction of the *Čingiz-nāmä*. It is, however, possible to narrow down the date even further!

When narrating the reign of Ulug Muhammad (ruled the Golden Horde cc. 1419–1423, and with short interruptions 1428–1432; ruled the Khanate of Kazan' 1438–1435), Ötämiš Hājjī alludes to "Aq Köbäk khan who is khan in Hājjī Tarhan (i.e. Astrakhan – Cs. G.) [...]" [19, p. 152; 32, pp. 80, 155, 169/fol. 72a]<sup>14</sup>. The tense employed by the author in this passage is called aorist (*hān turur*). "Since the aorist does not have a point of time or time frame specifically assigned to it" [7, p. 204], it is hard to determine its exact function in the given sentence. It may refer to a narrated past action, a wide range of present actions – habitual or recurring, progressive or continuous –, general truths, established facts, or future actions [7, pp. 204–207]<sup>15</sup>. Although the use of the aorist in the given sentence can be ambiguous, it seems plausible, nay, likely that in this case, it refers to the author's own time. If that is so, it means that Ötämiš Hājjī worked on the second redaction of the *Čingiz-nāmä* during the reign of the above-mentioned monarch, Aq Köbäk Khan.

The politics of the Khanate of Astrakhan in the first half of the 16th century were exceptionally complex, but it is possible to provide an acceptable chronology of the rulers' reign in this period. Aq Köbäk Khan b. Murtażā, came to power in

<sup>&</sup>lt;sup>11</sup> The content of the continuation has been exhaustively analysed by Belyakov who argues that the *terminus post quem* of the compilation is 1654 [5, pp. 380–387].

<sup>&</sup>lt;sup>12</sup> The manuscript does not offer a clear reading of the name. Both Kafali and Mirgaleev read *Qahil hān*, Kafali even mentioning the discrepancy [19, p. 27]. Be that as it may, the letters  $q\bar{a}f$  and  $l\bar{a}m$  are legible, and we can be sure that the monarch in question is the same person whom the *Šājārā-i türk* [10, p. <sup>YY4</sup>] and the *Firaws al-iqbāl* [18, pp. <sup>YY4</sup>, <sup>YY4</sup>], 27, pp. 33–34], mention as Qal Khan.

<sup>&</sup>lt;sup>13</sup> Kafalı was certainly right in setting the date of the work's compilation prior to 1552. This was the year Russian troops wrested the city of Kazan' from Genghisid hands. The event proved to be so significant that it reverberated in most of the chronicles in successor-states of the Golden Horde. The fact that Ötämiš Hājjī, a contemporary of the events, failed to mention it, suggests that he, indeed, finished the second redaction of his texts prior to the conquest.

<sup>&</sup>lt;sup>14</sup> Aq Köbäk hān kim Hājjī Tarhanda hān turur atyur kim [...]

<sup>&</sup>lt;sup>15</sup> For an in depth view of the tense consult the following grammar books: [6, pp. 340–341; 7, pp. 203–208; 9, pp. 230–237; 12, pp. 163–167; 26, pp. 126–127].

the khanate with the help of his Cherkes allies in 1532, but he lost his position after only a year on the throne. He managed to reclaim it for a second time from 1545 until he was ousted by his enemies in 1546/1547 [30, pp. 219–221, 35, pp. 138– 139, 144]. The first reign of Aq Köbäk Khan (1532–1533) can not correspond to that of Qal Khan (1539/40–1548/9) in  $H^w\bar{a}$ razm, which means we can dismiss it. However, the chronology of his second reign, between 1545 and 1547 does! This indicates that the aorist in sentence "Aq Köbäk hān kim Hājjī Tarhanda hān turur" expresses a present tense, thus signalling that Ötämiš Hājjī must have worked on the second redaction of the *Čingiz-nāmä* in the given period. The questions regarding the dates at the end of the Istanbul manuscript, their exact reading and meaning, however, still remains open.

In the course of the article, we compared the structure of the *Čingiz-nāmä* in the Tashkent and Istanbul manuscripts and were able to conclude that Ötämiš Hājjī drafted two separate redactions of his chronicle. He finished the first one for his patron, Iš Muhammad Sultān between the years of 1518 and 1539, the Tashkent manuscript preserved this version of the text. Latter, most probably around 1545–1547, the author made a second redaction which he extended with the history of Genghis Khan and the early history of the Mongol Empire, this is the version preserved in the Istanbul manuscript. These findings raise further questions: why did the author draft a second redaction of the text? Why did he leave the *invocation* and *introduction* out of it? Unfortunately, for the time being, it is not possible to answer these questions. Additionally, the study calls to attention the need for, and benefits that arise from modern critical text editions of "native sources" of the later Golden Horde period – a need that has been pointed out three decades ago [33, p. 252].

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*About the author:* Csaba Göncöl – MA (History, Turcology), Assistant Research Fellow, MTA-SZTE Ottoman Era Research Group (2, University Str., Szeged 6722, Hungary). E-mail: csaba986@gmail.com

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### РЕДАКЦИИ И ДАТЫ СОСТАВЛЕНИЯ ТЕКСТОВ ХРОНИКИ «ЧИНГИЗ-НАМЕ» УТЕМИША-ХАДЖИ

#### Чаба Гёнцёль

Исследовательская Группа османской эпохи Венгерской Академии Наук при Сегедском Университете Сегед, Венгрия csaba986@gmail.com

Настоящая статья направлена на исследование взаимосвязи текстов хроники XVI века, так называемой «Чингиз-наме» Утемиша-хаджи, сохранившейся в двух списках, а также на определение даты создания этого произведения.

*Материалы исследования*: Рукописи «Чингиз-наме» Утемиша-хаджи из Ташкента и Стамбула, среднеазиатские хроники на тюркском и персидском языках, актуальная научная литература. Результаты и новизна исследования: Анализируя отношения между двумя текстами, автор приходит к выводу, что рукописи вышеупомянутой хроники из Ташкента и Стамбула содержат разные редакции «Чингиз-наме», написанные одним и тем же летописцем. Кроме того, автор считает, что текст, сохранившийся в Ташкентском списке, является первоначальной редакцией, составленной до 1539 года, в то время как текст, сохранившийся в Стамбульской рукописи, представляет собой вторую редакцию – расширенную – написанную примерно в середине 1540-х годов.

*Ключевые слова:* Утемиш-хаджи, Чингиз-наме, Золотая Орда, Ташкентский список, Стамбульский список

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Сведения об авторе: Чаба Гёнцёль – аспирант по историческим наукам (Сегедский университет), младший научный сотрудник, Исследовательская Группа османской эпохи Венгерской Академии Наук при Сегедском университете (6722, ул. Университетская, 2, Сегед, Венгрия). E-mail: csaba986@gmail.com

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